SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934

					or Sec	tion 30(n) of the li	ivestment	Con	npany Act o	T 1940							
1. Name and Address of Reporting Person [*] <u>Dumont Philippe</u>				2. Issuer Name and Ticker or Trading Symbol Cibus, Inc. [CLXT]								elationship c eck all applic	o of Reporting Person(s) to Issu licable)			uer		
<u>Dumont rimppe</u>													C Directo	r 10		10% Ov	wner	
(Last) (First) (Middle)						3. Date of Earliest Transaction (Month/Day/Year) 03/01/2023							Officer below)	(give title		Other (: below)	specify	
2800 MOUNT RIDGE ROAD					4. If Am	4. If Amendment, Date of Original Filed (Month/Day/Year)							6. Individual or Joint/Group Filing (Check Applicable Line)					
(Street)												2	X Form filed by One Reporting Person					
ROSEVILLE MN 55113														Form filed by More than One Reporting Person				
(City)	(5	State)	(Zip)	Rule 10b5-1(c) Transaction Indication														
			Check this box to indicate that a transaction was made pursuant to a contract, instruction or written plan that is intended to satisfy the affirmative defense conditions of Rule 10b5-1(c). See Instruction 10.															
		Та	ble I - Noi	n-Deriv	ative S	ecuriti	es Acq	uired, I	Dis	oosed of	, or Ber	eficially	/ Owned					
1. Title of	Date				saction Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)		3. 4. Securities Acquire Transaction Disposed Of (D) (Instr. Code (Instr. 5)					6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		7. Nature of Indirect Beneficial Ownership			
								Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)				(Instr. 4)	
							•	,		osed of, o onvertib		-	Owned					
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	saction 3A. Deemed Execution Date, (Day/Year) if any		ransaction Code (Instr.)		ive ies ed (A) osed of	6. Date E Expiratio (Month/D	n Da		7. Title an Amount o Securities Underlyin Derivative (Instr. 3 a	f g Security	8. Price of Derivative Security (Instr. 5)	9. Number derivative Securitie Beneficia Owned Following Reported Transact	e s ally g	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4	Beneficial Ownershi (Instr. 4)	
		1			- 1			Amount		(Instr 4)			1					

	Security				and 5)	(r. 3, 4			(Instr. 3 and 4)			Reported Transaction(s)	(I) (Instr. 4)	
			Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		(Instr. 4)		
Stock Option (Right-to- Buy)	\$4.55	03/01/2023	D ⁽¹⁾			5,000	(1)	08/04/2030	Common Stock	5,000	(1)	0	D	
Stock Option (Right-to- Buy)	\$4.55	03/01/2023	A ⁽¹⁾		5,000		(1)	08/04/2030	Common Stock	5,000	(1)	5,000	D	
Stock Option (Right-to- Buy)	\$4.22	03/01/2023	D ⁽¹⁾			10,291	(1)	05/27/2031	Common Stock	10,291	(1)	0	D	
Stock Option (Right-to- Buy)	\$4.22	03/01/2023	A ⁽¹⁾		10,291		(1)	05/27/2031	Common Stock	10,291	(1)	10,291	D	
Stock Option (Right-to- Buy)	\$0.3032	03/01/2023	D ⁽¹⁾			32,700	(1)	06/13/2032	Common Stock	32,700	(1)	0	D	
Stock Option (Right-to- Buy)	\$0.3032	03/01/2023	A ⁽¹⁾		32,700		(1)	06/13/2032	Common Stock	32,700	(1)	32,700	D	

Explanation of Responses:

1. The reported transactions reflect a March 1, 2023 material modification of an outstanding stock option with a 90-day post-separation exercise period to extend the exercise period from 90 days to five years from the date of grant. Calyxt, Inc. effected a one-for-ten reverse stock split of its common stock effective on April 24, 2023 and a one-for-five reverse stock split effective on May 31, 2023. Amounts reported above are pre-split.



06/01/2023

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 \ast If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.