SEC Form 4

FORM 4

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL

	OMB Number:	3235-0287						
Estimated average burden								
	hours per response:	0.5						

2800 MOUNT RIDGE ROAD 4. If Amendment, Date of Original Filed (Month/Day/Year) 6. Individual or Joint/Group (Street) MN 55113 5. Minimid and provided by Magement (City) (State) (Zip) Form filed by Magement 1. Title of Security (Instr. 3) 2. Transaction Date (Month/Day/Year) 3. Transaction Date (Month/Day/Year) 3. Transaction Date (Month/Day/Year) 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) 5. Amount of Securities Beneficially Owned Following Person Common Stock 04/01/2020 P P 1. Title I - Derivative Securities Acquired, Jisposed of, Or Beneficially Owned Following Person 5. Amount of Securities Person Securities Person Common Stock 04/01/2020 P P 1. Title I - Derivative Securities Acquired, Jisposed of, Or Beneficially Owned Following Person 1. Fransaction Date (Month/Day/Year) 1. Securities Proved Transaction (S) (Instr. 3 and 4) 1. Securities Proved Transaction (S) (Instr. 3 and 4)	Frey T	<u>ravis</u> (Fi		×						g Symbol				o of Reportin	ig Person(s) to I	ssuer
(Street) ROSEVILLE MN 55113 Line) X Form filed by On Form filed by Mn Person (City) (State) (Zip) Image: Comparison of the term file of term f		Frey Travis (Last) (First) (Middle)				Calyxt, Inc. [CLXT] 3. Date of Earliest Transaction (Month/Day/Year)							X Office below	10% C	Owner er (specify w)	
1. Title of Security (Instr. 3) 2. Transaction Date (Month/Day/Year) 2A. Deemed Execution Date, if any (Month/Day/Year) 3. Transaction Code (Instr. 4) 3. Transaction Code (Instr. 4) 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) Common Stock 04/01/2020 P P 1. TitSposed of, or Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned Disposed of, or Beneficially Owned	ROSEVILLE MN 55113				4. If Amendment, Date of Original Filed (Month/Day/Year)						e) X Form Form	 Form filed by One Reporting Person Form filed by More than One Reporting 				
Date (Month/Day/Year) Date (Month/Day/Year) Execution Date, if any (Month/Day/Year) Transaction Code (Instr. Disposed Of (D) (Instr. 3, 4 and 5) Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) Common Stock 04/01/2020 P P 175 ⁽¹⁾ A \$3.1948 ⁽²⁾ 1,675 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned			Table	I - Non-Deriv	ative S	Securit	ties Ac	quire	d, Di	sposed of	f, or B	eneficia	ally Own	ed		
Image: constraint of the state of the s	Date			Year) Execution		n Date,	Transaction Disposed Of Code (Instr.				5) Securi Benefi Owned	rities ficially d Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership		
Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned								Code	v	Amount	(A) or (D)	Price	Transa	ction(s)		(Instr. 4)
	Common Stock 04			04/01/2	020			Р		175 ⁽¹⁾	Α	\$3.1948	3 ⁽²⁾	,675	D	
(e.g., puts, calls, warrants, options, convertible securities)			Tal											d		
Derivative Security (Instr. 3) Conversion or Exercise Derivative Date (Month/Day/Year) Execution Date, if any (Month/Day/Year) Transaction Code (Instr. 8) of Derivative Securities Acquired Expiration Date (Month/Day/Year) Amount of Securities Month/Day/Year) Derivative Securities Acquired Derivative Image: Derivative Month/Day/Year) Image: Derivative Securities Acquired Transaction Derivative Month/Day/Year) Of Securities Securities Acquired Amount of Securities Derivative Derivative Derivative Securities Securities	Derivative	Conversion or Exercise Price of Derivative	Date	Execution Date,	Date, Transact Code (In:		of Derivative Securities Acquired (A) or Disposed	Expiration Date (Month/Day/Yea s		Date Amount o y/Year) Securities Underlyin Derivative Security (3 and 4)		nt of ities lying itive ity (Instr.	Derivative Security	Following Reported	Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	Beneficial Ownership (Instr. 4)

Date

Exercisable

(D)

(A)

Explanation of Responses:

1. The purchase reported in this Form 4 was effected pursuant to a Rule 10b5-1 trading plan adopted by reporting person on December 11, 2019.

Code

2. The price reported in Column 4 is a weighted average. These shares were purchased in multiple transactions at prices ranging from \$3.16-\$3.25, inclusive.

v

Dr. Andre Choulika, Attorney-04/01/2020

in-Fact for Travis Frey

or Number

of

Shares

Title

Expiration

Date

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.